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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/475,961	12/30/1999	TIMOTHY JAY SMITH	9D-EC-19335	7120
John S. Beulick	7590 03/31/200	EXAMINER		
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# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)			
Office Action Comments	09/475,961	SMITH ET AL.			
Office Action Summary	Examiner	Art Unit			
	SHANNON S. SALIARD	3628			
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply					
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).					
Status					
1) Responsive to communication(s) filed on 31 De	ecember 2007.				
	action is non-final.				
	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is				
closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.					
ologod in addordance with the practice ander E.	x parte gaayle, 1000 0.5. 11, 10	.0.0.210.			
Disposition of Claims					
4)⊠ Claim(s) <u>See Continuation Sheet</u> is/are pending in the application.					
4a) Of the above claim(s) is/are withdrawn from consideration.					
5) Claim(s) is/are allowed.					
6) Claim(s) <u>1,4,5,7-12,14,15,18,19,21-26,29,30,32</u>	2-37,39-41,44,45,47-51,54,55 an	<u>d 57-60</u> is/are rejected.			
7) Claim(s) is/are objected to.					
8) Claim(s) are subject to restriction and/or	election requirement.				
	·				
Application Papers					
9)☐ The specification is objected to by the Examiner.					
10)☐ The drawing(s) filed on is/are: a)☐ acce	epted or b) $\square$ objected to by the E	Examiner.			
Applicant may not request that any objection to the o	drawing(s) be held in abeyance. See	e 37 CFR 1.85(a).			
Replacement drawing sheet(s) including the correcti	on is required if the drawing(s) is obj	ected to. See 37 CFR 1.121(d).			
11) ☐ The oath or declaration is objected to by the Exa	aminer. Note the attached Office	Action or form PTO-152.			
Priority under 35 U.S.C. § 119					
12\\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	priority under 35 LLS C. 8 119(a)	-(d) or (f)			
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of:					
1. Certified copies of the priority documents	have been received				
2. ☐ Certified copies of the priority documents		on No			
3. Copies of the certified copies of the prior					
	•	ed III tills National Stage			
• •	application from the International Bureau (PCT Rule 17.2(a)).				
* See the attached detailed Office action for a list of the certified copies not received.					
Attachment(s)					
1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)					
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) Paper No(s)/Mail Date  5) Notice of Informal Patent Application					
3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date  5) Notice of Informal Patent Application  6) Other:					
- apo. (10(0), mail Batto					

Continuation of Disposition of Claims: Claims pending in the application are 1,4,5,7-12,14,15,18,19,21-26,29,30,32-37,39-41,44,45,47-51,54,55 and 57-60.

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#### **DETAILED ACTION**

#### Status of Claims

1. Applicant has amended claims 1, 4, 5, 7, 15, 18, 19, 21, 26, 29, 32, 40, 41, 44, 45, 47, 51, 55, and 57 and cancelled claims 2, 3, 6, 13, 16, 17, 20, 27, 28, 31, 38, 42, 43, 46, 52, 53, and 56. No claims have been newly added. Thus, claims 1, 4, 5, 7-12, 14, 15, 18, 19, 21-26, 29, 30, 32-37, 39-41, 44, 45, 47-51, 54, 55, and 57-60 remain pending and are presented for examination.

## Response to Arguments

2. Applicant's arguments with respect to claims 1, 15, 26, 40, 41, and 51 have been considered but are most in view of the new ground(s) of rejection.

#### Claim Rejections - 35 USC § 112

- 3. The following is a quotation of the first paragraph of 35 U.S.C. 112:
  - The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.
- 4. Claims 1, 15, 26, 40, 41, and 51 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention.

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As per **claims 1, 15, 26, 40, 41, and 51**, Specifically the limitation "determining an ability of the respective delivery agent to ship the order based on...a number of slots to be shipped, the number of slots calculated from a work unit matrix" does not have adequate support within the specification. There is no specific method disclosed in the specification for calculating the number of slots.

- 5. The following is a quotation of the second paragraph of 35 U.S.C. 112:
  The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 6. **Claims 1, 15, 26, 40, 41, and 51** are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

As per claims 1, 15, 26, 40, 41, and 51, the limitation "determining an ability of the respective delivery agent to ship the order based on...a number of slots to be shipped, the number of slots calculated from a work unit matrix" as recited is vague and indefinite. It is unclear to the Examiner how a slot gets shipped. It is further unclear to what a "work unit matrix" entails. Since there is no explicit definition of a "work unit matrix" provided in the specification, the Examiner will give this limitation its broadest reasonable interpretation as being anything that is used to calculate a number of slots.

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### Claim Rejections - 35 USC § 103

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 8. Claims 1, 4, 5, 7-12, 14, 15, 18, 19, 21-26, 29, 30, 32-37, 39-41, 44, 45, 47-51, 54, 55, and 57-60 are rejected under 35 U.S.C. 103(a) as being unpatentable over Juedes et al. (WO 01/13261) in view of Kennedy et al (US 6,963,847), Marks (US 6,876,977), Kirsch (US 5,963,915), and Borders et al [US 2007/0162353].
- W.R.T. Claim 1, Juedes et al. discloses a method for managing the delivery of an order from at least one supplier to a delivery agent, and from the agent to a buyer, comprising the steps of (see pages 4-6; Tables 1-14; Figs. 1-18 and the descriptions thereof): calculating a first potential arrival date of the order to a respective delivery agent's location, using the server system based on an order request date and a respective buyer's address (see, for example, Figs. 2, 6-7, 10-18 and the descriptions thereof); determining an ability of the respective delivery agent to ship the order based on the first potential arrival date (see ld.); determining a delivery date to the buyer when there is sufficient delivery agent capacity to ship the order to the respective buyer's address (see Supra Figs. 11-15); and updating an electronic manifest indicating the delivery date of the order and a change in delivery agent capacity for the delivery date (see ld.)

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Juedes et al does not disclose calculating an arrival date based on a fixed delay; allowing an order change that affects the delivery date of the order to be made by an authorized user; and wherein upon allowance of the order change, steps (1), (2), (3), and (4) are repeated to determine a new delivery date. However, it is obvious that since Kirsch performs steps (1)- (4) for determining a delivery date to repeat the steps to determine a new delivery date. However, Kennedy et al discloses calculating a first potential delivery date based on a standard lead time [col 25, lines 17-31]. Further, Kennedy et al discloses that a client can request a change that affects the delivery date and to initiate a request the user must be authorized using security information [col 28. lines 40-67; col 10, lines 26-29]. Additionally, Kennedy et al discloses processing an order change as though it was a new order [col 29, lines 21-25]. Thus, Kennedy et al. discloses repeating the delivery date calculations based on an order change. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the invention of Juedes et al to include the method disclosed by Kennedy et al to fulfill the customer's needs [col 25, lines 17-31] and so that a user can provide a time when he/she will be available to receive the order or when the order is necessary.

Juedes et al. does not disclose the method including allowing an order change to be made by a user that is authorized by one of the respective delivery agent, the respective buyer, the at least one supplier, a store, or a logistics intermediary, wherein allowance of the order change is based on: (a) type of order change, (b) whether the user is acting as the respective delivery agent, the respective buyer, the at least one supplier, the store, or logistics intermediary, and a (c) a level of the user. However,

Marks teaches that a user can make an order change wherein the change is allowed based on type of order change (review, edit, change order), whether the user is acting as the delivery agent, the buyer, the at least one supplier, the store, or the logistic intermediary, and a level of the user (Tables I & II, col 5, lines 5-55; col 10, lines 20-67). Juedes et al does not disclose wherein the allowance of an order change is based on a security code. Kirsch teaches, for a secure system and method for performing transinternet purchase transactions, that the invention shows that an order change is made based on a security code (see col. 5, lines 22-27; col. 14, lines 20-65). Since Juedes et al., Kirsch, and Marks are all from the same field of endeavor, the purpose disclosed by Kirsch and Marks would have been well recognized in the pertinent field of Juedes et al. Accordingly, it would have been obvious at the time the invention was made to a person having ordinary skill in the art to modify the method of Juedes et al. such that the method includes the step of allowing an order change to be made based on type of order change, whether the user is acting as the delivery agent, the buyer, the at least one supplier, the store, or logistics intermediary, a level of the user, and a security code, as taught by Kirsch and Marks, for the purpose of providing a method of efficiently performing secure purchase transactions over the Internet.

Juedes et al. does not disclose the method including determining an ability of the respective delivery agent to ship the order based on a number of slots to be shipped, the number of slots calculated from a work unit matrix. However, Borders et al discloses determining available delivery windows based upon transportation capacity data, such as the number of couriers available, the number of vehicles available, the

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number of orders, truck routes, etc. [0088]. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the method of Juedes et al to include the method disclosed by Borders et al so the delivery agent does not become overcommitted.

- W.R.T. **Claim 4**: The modified method of Juedes et al. further discloses the method including the step of multiplying each item in the order by the work unit selected from a work unit matrix to determine the number of slots for the order (see Id.).
- W.R.T. **Claim 5**: The modified method of Juedes et al. further discloses the method, wherein the step of determining a delivery date to the respective buyer when there is sufficient capacity to ship the order further comprises the step of determining a first available date that the order is completely shipped to the respective buyer based on a capacity matrix and based on a number of available slots (see pages 4-6, Tables 1-14, Figs. 1-18 and the descriptions thereof).
- W.R.T. **Claim 7**: The modified method of Juedes et al. further discloses the method including the step of getting a zip code to which the order is to be delivered and a brand of a respective good in the order (see pages 4-6, Tables 1-14, Figs. 1-18 and the descriptions thereof).
- W.R.T. **Claim 8**: The modified method of Juedes et al. further discloses the method including the step of getting a the supplier ship schedule based on the zip code and the brand of respective good ordered (see Id.).
- W.R.T. **Claim 9**: The modified method of Juedes et al. further discloses the method including the step of selecting the respective delivery agent and a respective a

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capacity matrix based on the zip code of the order (see pages 4-6, Tables 1-14, Figs. 1-18 and the descriptions thereof).

W.R.T. Claim 10: The modified method of Juedes et al. further discloses the method including the step of determining a first potential ship date to the respective buyer's address based on the capacity of the respective delivery agent and the delivery schedule of the respective delivery agent (see Id.).

W.R.T. **Claim 11**: The modified method of Juedes et al. further discloses the method, wherein the step of allowing order change that affects the delivery date of the order to be made further includes the step of allowing the order change to be made using an external order interface (see Figs. 1-2 and Supra columns of Kirsch).

W.R.T. **Claim 12**: The modified method of Juedes et al. further discloses the method including the step of updating the electronic manifest with status information (see pages 4-6, Tables 1-14, Figs. 1-18 and the descriptions thereof).

W.R.T. **Claim 14**: The modified method of Juedes et al. further discloses the method, wherein the step of calculating a first potential arrival date of the order to a respective delivery agent's location further comprises calculating a first potential arrival date of the order, wherein the order information comprises the order request date, a model number, a quantity of items, a brand of the an item, a service to be selected, a requested delivery date, the respective buyer's delivery address, a security level clearance, and status information. (see pages 4-6, Tables 1-14, Figs. 1-18 and the descriptions thereof).

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W.R.T. Claim 15, Juedes et al. discloses a method comprising the steps of (see pages 4-6, Tables 1-14, Figs. 1-18 and the descriptions thereof): calculating a first potential arrival date of the order to a respective delivery agent's location, using the server system based on the order request date and the respective buyer's address (see, for example, Figs. 2, 6-7, 10-18 and the descriptions thereof); determining an ability of the respective delivery agent to ship the order within a set of potential delivery dates based on the first potential arrival date and a first date the respective delivery agent is prepared to ship the order, selecting an actual delivery date from the set of potential delivery dates (see, for example, Figs. 2, 6-7, 10-18 and the descriptions thereof); and updating an electronic manifest indicating the delivery date of the order and a change in delivery agent capacity for the delivery date (see Id.)

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Juedes et al does not disclose calculating an arrival date based on a fixed delay; allowing an order change that affects the delivery date of the order to be made by an authorized user; and wherein upon allowance of the order change, steps (1), (2), (3), and (4) are repeated to determine a new delivery date. However, it is obvious that since Kirsch performs steps (1)- (4) for determining a delivery date to repeat the steps to determine a new delivery date. However, Kennedy et al discloses calculating a first potential delivery date based on a standard lead time [col 25, lines 17-31]. Further, Kennedy et al discloses that a client can request a change that affects the delivery date and to initiate a request the user must be authorized using security information [col 28, lines 40-67; col 10, lines 26-29]. Additionally, Kennedy et al discloses processing an order change as though it was a new order [col 29, lines 21-25]. Thus, Kennedy et al

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discloses repeating the delivery date calculations based on an order change. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the invention of Juedes et al to include the method disclosed by Kennedy et al to fulfill the customer's needs [col 25, lines 17-31] and so that a user can provide a time when he/she will be available to receive the order or when the order is necessary.

Juedes et al. does not disclose the method including allowing an order change to be made by a user that is authorized by one of the respective delivery agent, the respective buyer, the at least one supplier, a store, or a logistics intermediary, wherein allowance of the order change is based on: (a) type of order change, (b) whether the user is acting as the respective delivery agent, the respective buyer, the at least one supplier, the store, or logistics intermediary, and a (c) a level of the user. However, Marks teaches that a user can make an order change wherein the change is allowed based on type of order change (review, edit, change order), whether the user is acting as the delivery agent, the buyer, the at least one supplier, the store, or the logistic intermediary, and a level of the user (Tables I & II, col 5, lines 5-55; col 10, lines 20-67). Juedes et al does not disclose wherein the allowance of an order change is based on a security code. Kirsch teaches, for a secure system and method for performing transinternet purchase transactions, that the invention shows that an order change is made based on a security code (see col. 5, lines 22-27; col. 14, lines 20-65). Since Juedes et al., Kirsch, and Marks are all from the same field of endeavor, the purpose disclosed by Kirsch and Marks would have been well recognized in the pertinent field of Juedes et al. Accordingly, it would have been obvious at the time the invention was made to a person

having ordinary skill in the art to modify the method of Juedes et al. such that the method includes the step of allowing an order change to be made based on type of order change, whether the user is acting as the delivery agent, the buyer, the at least one supplier, the store, or logistics intermediary, a level of the user, and a security code, as taught by Kirsch and Marks, for the purpose of providing a method of efficiently performing secure purchase transactions over the Internet.

Juedes et al. does not disclose the method including determining an ability of the respective delivery agent to ship the order based on a number of slots to be shipped, the number of slots calculated from a work unit matrix. However, Borders et al discloses determining available delivery windows based upon transportation capacity data, such as the number of couriers available, the number of vehicles available, the number of orders, truck routes, etc. [0088]. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the method of Juedes et al to include the method disclosed by Borders et al so the delivery agent does not become overcommitted.

- W.R.T. Claim 18: The modified method of Juedes et al. further discloses the method comprising the step of multiplying each item in the order by a work unit selected from the work unit matrix to determine the number of slots for the order (see Id.).
- W.R.T. Claim 19: The modified method of Juedes et al. further discloses the method, wherein the step of selecting an actual delivery date from the set of potential delivery dates further comprises the step of determining a first available date to completely ship the order to the resepctive buyer based on a capacity matrix and based

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on a number of available slots (see pages 4-6', Tables 1-14, Figs. 1-18 and the descriptions thereof).

- W.R.T. Claim 21: The modified method of Juedes et al. further discloses the method including the step of getting a zip code to which the order is to be delivered and a brand of a respective good in the order (see Id.).
- W.R.T. **Claim 22**: The modified method of Juedes et al. further discloses the method including the step of getting the respective supplier ship schedule based on the zip code and the brand of the respective good ordered (see pages 4-6, Tables 1-14, Figs. 1-18 and the descriptions thereof).
- W.R.T. **Claim 23**: The modified method of Juedes et al. further discloses the method including the step of selecting the respective delivery agent and a respective a capacity matrix based on the zip code of the order (see ld.).
- W.R.T. Claim 24: The modified method of Juedes et al. further discloses the method including the step of determining a first potential ship date to the respective buyer's address based on the capacity of the respective delivery agent and the delivery schedule of the respective delivery agent (see Id.).
- W.R.T. **Claim 25**: The modified method of Juedes et al. further discloses the method, wherein the step of calculating a first potential arrival date of the order to a respective delivery agent's location further comprises the order request date, a model number, a quantity of items, a brand of the item, a service to be selected, a requested delivery date, the respective buyer's delivery address, a security level clearance and status information (see pages 4-6, Tables 1-14, Figs. 1-18 and the descriptions thereof).

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W.R.T. Claim 26, Juedes et al. discloses a computer program storage medium readable by a computer system and encoding a computer program of instructions for executing a computer process, the computer process comprising the steps of (see pages 4-6, Tables 1-14', Figs. 1-18 and the descriptions thereof): determining a first potential arrival date of the order to a respective delivery agent's location, based on the order request date and the respective buyer's address', determining an ability of the respective delivery agent to ship the order based on the first potential arrival date, determining a delivery date to the respective buyer when there is sufficient delivery agent capacity to ship the order to the respective buyer's address (see Id.); and updating an electronic manifest indicating the delivery date of the order and a change in delivery agent capacity for the delivery date (see Id.)

Juedes et al does not disclose calculating an arrival date based on a fixed delay; allowing an order change that affects the delivery date of the order to be made by an authorized user; and wherein upon allowance of the order change, steps (1), (2), (3), and (4) are repeated to determine a new delivery date. However, it is obvious that since Kirsch performs steps (1)- (4) for determining a delivery date to repeat the steps to determine a new delivery date. However, Kennedy et al discloses calculating a first potential delivery date based on a standard lead time [col 25, lines 17-31]. Further, Kennedy et al discloses that a client can request a change that affects the delivery date and to initiate a request the user must be authorized using security information [col 28, lines 40-67; col 10, lines 26-29]. Additionally, Kennedy et al discloses processing an order change as though it was a new order [col 29, lines 21-25]. Thus, Kennedy et al

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discloses repeating the delivery date calculations based on an order change. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the invention of Juedes et al to include the method disclosed by Kennedy et al to fulfill the customer's needs [col 25, lines 17-31] and so that a user can provide a time when he/she will be available to receive the order or when the order is necessary.

Juedes et al. does not disclose the method including allowing an order change to be made by a user that is authorized by one of the respective delivery agent, the respective buyer, the at least one supplier, a store, or a logistics intermediary, wherein allowance of the order change is based on: (a) type of order change, (b) whether the user is acting as the respective delivery agent, the respective buyer, the at least one supplier, the store, or logistics intermediary, and a (c) a level of the user. However, Marks teaches that a user can make an order change wherein the change is allowed based on type of order change (review, edit, change order), whether the user is acting as the delivery agent, the buyer, the at least one supplier, the store, or the logistic intermediary, and a level of the user (Tables I & II, col 5, lines 5-55; col 10, lines 20-67). Juedes et al does not disclose wherein the allowance of an order change is based on a security code. Kirsch teaches, for a secure system and method for performing transinternet purchase transactions, that the invention shows that an order change is made based on a security code (see col. 5, lines 22-27; col. 14, lines 20-65). Since Juedes et al., Kirsch, and Marks are all from the same field of endeavor, the purpose disclosed by Kirsch and Marks would have been well recognized in the pertinent field of Juedes et al. Accordingly, it would have been obvious at the time the invention was made to a person

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having ordinary skill in the art to modify the method of Juedes et al. such that the method includes the step of allowing an order change to be made based on type of order change, whether the user is acting as the delivery agent, the buyer, the at least one supplier, the store, or logistics intermediary, a level of the user, and a security code, as taught by Kirsch and Marks, for the purpose of providing a method of efficiently performing secure purchase transactions over the Internet.

Juedes et al. does not disclose the method including determining an ability of the respective delivery agent to ship the order based on a number of slots to be shipped, the number of slots calculated from a work unit matrix. However, Borders et al discloses determining available delivery windows based upon transportation capacity data, such as the number of couriers available, the number of vehicles available, the number of orders, truck routes, etc. [0088]. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the method of Juedes et al to include the method disclosed by Borders et al so the delivery agent does not become overcommitted.

- W.R.T. **Claim 29**: The modified process of Juedes et al. further discloses the process including the step of multiplying each item in the order by the work unit selected from a work unit matrix to determine the number of slots for the order (see Id.).
- W.R.T. **Claim 30**: The modified process of Juedes et al. further discloses the process, wherein the step of determining a delivery date to the respective buyer when there is sufficient capacity to ship the order further comprises the step of determining a first available date that the order is completely shipped to the respective buyer based on

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a capacity matrix and based on the number of available slots (see pages 4-6, Tables 1-14, Figs. 1-18 and the descriptions thereof).

W.R.T. **Claim 32**: The modified process of Juedes et al. further discloses the process including the step of getting a zip code to which the order is to be delivered and a brand of a respective good in the order (see Id.).

W.R.T. **Claim 33**: The modified process of Juedes et al. further discloses the process including the step of getting the supplier ship schedule based on the zip code and the brand of the respective good ordered (see pages 4-6, Tables 1-14, Figs. 1-18 and the descriptions thereof).

W.R.T. **Claim 34**: The modified process of Juedes et al. further discloses the process including the step of selecting the respective delivery agent and a respective a capacity matrix based on the zip code of the order (see Id).

W.R.T. Claim 35: The modified process of Juedes et al. further discloses the process including the step of determining a first potential ship date to the respective buyer's address based on the capacity of the respective delivery agent and the delivery schedule of the respective delivery agent (see Id.).

W.R.T. Claim 36: The modified process of Juedes et al. further discloses the process, wherein the step of allowing an order change that affects the delivery date of the order to be made further includes the step of allowing the order change to be made using an external order interface (see Figs. 1-2 and Supra columns of Kirsch).

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W.R.T. **Claim 37**: The modified process of Juedes et al. further discloses the process including the step of updating the electronic manifest with status information (see pages 4-6, Tables 1-14, Figs. 1-18 and the descriptions thereof).

W.R.T. Claim 39: The modified process of Juedes et al. further discloses the process, wherein the step of determining a first potential arrival date of the order to a respective delivery agent's location further comprises determining a first potential arrival date of the order, wherein wherein the step of calculating a first potential arrival date of the order to a respective delivery agent's location further comprises the order request date, a model number, a quantity of items, a brand of the item, a service to be selected, a requested delivery date, the respective buyer's delivery address, a security level clearance and status information (see pages 4-6, Tables 1-14, Figs. 1-18 and the descriptions thereof).

W.R.T. Claim 40, Juedes et al. discloses an apparatus comprising (see pages 4-6., Tables 1-14, Figs. 1-1 8 and the descriptions thereof: means for determining a first potential arrival date of the order to a respective delivery agent's location, based on an order request date and the respective buyer's address (see, for example, Figs. 2, 6-7, 10-18 and the descriptions thereof; means for determining the ability of the respective delivery agent to ship the order based on the first potential arrival date (see ld.); means for determining a delivery date to the respective buyer when there is sufficient delivery agent capacity to ship the order to the respective buyer's address (see, for example, Figs. 2, 6-7, 10-18 and the descriptions thereof); and means for updating an electronic

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manifest indicating an order ship date and a change in delivery agent capacity for the delivery date (see Id.).

Juedes et al does not disclose calculating an arrival date based on a fixed delay; allowing an order change that affects the delivery date of the order to be made by an authorized user; and wherein upon allowance of the order change, steps (1), (2), (3), and (4) are repeated to determine a new delivery date. However, it is obvious that since Kirsch performs steps (1)- (4) for determining a delivery date to repeat the steps to determine a new delivery date. However, Kennedy et al discloses calculating a first potential delivery date based on a standard lead time [col 25, lines 17-31]. Further, Kennedy et al discloses that a client can request a change that affects the delivery date and to initiate a request the user must be authorized using security information [col 28, lines 40-67; col 10, lines 26-29]. Additionally, Kennedy et al discloses processing an order change as though it was a new order [col 29, lines 21-25]. Thus, Kennedy et al. discloses repeating the delivery date calculations based on an order change. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the invention of Juedes et al to include the method disclosed by Kennedy et al to fulfill the customer's needs [col 25, lines 17-31] and so that a user can provide a time when he/she will be available to receive the order or when the order is necessary.

Juedes et al. does not disclose the method including allowing an order change to be made by a user that is authorized by one of the respective delivery agent, the respective buyer, the at least one supplier, a store, or a logistics intermediary, wherein allowance of the order change is based on: (a) type of order change, (b) whether the

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user is acting as the respective delivery agent, the respective buyer, the at least one supplier, the store, or logistics intermediary, and a (c) a level of the user. However, Marks teaches that a user can make an order change wherein the change is allowed based on type of order change (review, edit, change order), whether the user is acting as the delivery agent, the buyer, the at least one supplier, the store, or the logistic intermediary, and a level of the user (Tables I & II, col 5, lines 5-55; col 10, lines 20-67). Juedes et al does not disclose wherein the allowance of an order change is based on a security code. Kirsch teaches, for a secure system and method for performing transinternet purchase transactions, that the invention shows that an order change is made based on a security code (see col. 5, lines 22-27; col. 14, lines 20-65). Since Juedes et al., Kirsch, and Marks are all from the same field of endeavor, the purpose disclosed by Kirsch and Marks would have been well recognized in the pertinent field of Juedes et al. Accordingly, it would have been obvious at the time the invention was made to a person having ordinary skill in the art to modify the method of Juedes et al. such that the method includes the step of allowing an order change to be made based on type of order change, whether the user is acting as the delivery agent, the buyer, the at least one supplier, the store, or logistics intermediary, a level of the user, and a security code, as taught by Kirsch and Marks, for the purpose of providing a method of efficiently performing secure purchase transactions over the Internet.

Juedes et al. does not disclose the method including determining an ability of the respective delivery agent to ship the order based on a number of slots to be shipped, the number of slots calculated from a work unit matrix. However, Borders et al

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discloses determining available delivery windows based upon transportation capacity data, such as the number of couriers available, the number of vehicles available, the number of orders, truck routes, etc. [0088]. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the method of Juedes et al to include the method disclosed by Borders et al so the delivery agent does not become overcommitted.

W.R.T. Claim 41, Juedes et al. discloses a method comprising the steps of (see pages 4-6, Tables 1-14, Figs. 1-18 and the descriptions thereof: calculating a first potential arrival date of the multiple brand order to a respective delivery agent's location, using the server system based on an order request date and a respective buyer's address (see, for example, Figs. 2, 6-7, 10-18 and the descriptions thereof); determining an ability of the respective delivery agent to ship the multiple brand order from the at least two suppliers based on the first potential arrival date, determining a delivery date to the respective buyer when there is sufficient delivery agent capacity to ship the multiple brand order to the respective buyer's address; and updating an electronic manifest indicating the delivery date of the order and a change in delivery agent capacity for the delivery date (see Id.)

Juedes et al does not disclose calculating an arrival date based on a fixed delay; allowing an order change that affects the delivery date of the order to be made by an authorized user; and wherein upon allowance of the order change, steps (1), (2), (3), and (4) are repeated to determine a new delivery date. However, it is obvious that since Kirsch performs steps (1)- (4) for determining a delivery date to repeat the steps to

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determine a new delivery date. However, Kennedy et al discloses calculating a first potential delivery date based on a standard lead time [col 25, lines 17-31]. Further, Kennedy et al discloses that a client can request a change that affects the delivery date and to initiate a request the user must be authorized using security information [col 28, lines 40-67; col 10, lines 26-29]. Additionally, Kennedy et al discloses processing an order change as though it was a new order [col 29, lines 21-25]. Thus, Kennedy et al discloses repeating the delivery date calculations based on an order change. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the invention of Juedes et al to include the method disclosed by Kennedy et al to fulfill the customer's needs [col 25, lines 17-31] and so that a user can provide a time when he/she will be available to receive the order or when the order is necessary.

Juedes et al. does not disclose the method including allowing an order change to be made by a user that is authorized by one of the respective delivery agent, the respective buyer, the at least one supplier, a store, or a logistics intermediary, wherein allowance of the order change is based on: (a) type of order change, (b) whether the user is acting as the respective delivery agent, the respective buyer, the at least one supplier, the store, or logistics intermediary, and a (c) a level of the user. However, Marks teaches that a user can make an order change wherein the change is allowed based on type of order change (review, edit, change order), whether the user is acting as the delivery agent, the buyer, the at least one supplier, the store, or the logistic intermediary, and a level of the user (Tables I & II, col 5, lines 5-55; col 10, lines 20-67). Juedes et al does not disclose wherein the allowance of an order change is based on a

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security code. Kirsch teaches, for a secure system and method for performing transinternet purchase transactions, that the invention shows that an order change is made based on a security code (see col. 5, lines 22-27; col. 14, lines 20-65). Since Juedes et al., Kirsch, and Marks are all from the same field of endeavor, the purpose disclosed by Kirsch and Marks would have been well recognized in the pertinent field of Juedes et al. Accordingly, it would have been obvious at the time the invention was made to a person having ordinary skill in the art to modify the method of Juedes et al. such that the method includes the step of allowing an order change to be made based on type of order change, whether the user is acting as the delivery agent, the buyer, the at least one supplier, the store, or logistics intermediary, a level of the user, and a security code, as taught by Kirsch and Marks, for the purpose of providing a method of efficiently performing secure purchase transactions over the Internet.

Juedes et al. does not disclose the method including determining an ability of the respective delivery agent to ship the order based on a number of slots to be shipped, the number of slots calculated from a work unit matrix. However, Borders et al discloses determining available delivery windows based upon transportation capacity data, such as the number of couriers available, the number of vehicles available, the number of orders, truck routes, etc. [0088]. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the method of Juedes et al to include the method disclosed by Borders et al so the delivery agent does not become overcommitted.

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W.R.T. **Claim 44**: The modified method of Juedes et al. further discloses the method including the step of multiplying each item in the multiple brand order by the work unit selected from a work unit matrix to determine the number of slots for the multiple brand order (see Id.);

W.R.T. **Claim 45**: The modified method of Juedes et al. further discloses the method, wherein the step of determining a delivery date to the respective buyer when there is sufficient capacity to ship the multiple brand order further comprises the step of determining a first available date that the multiple brand order to completely ship the multiple brand order to the respective buyer based on a capacity matrix and based on the number of available slots (see pages 4-6, Tables 1-14, Figs. 1-18 and the descriptions thereof).

W.R.T. **Claim 47**: The modified method of Juedes et al. further discloses the method including the step of getting a zip code to which the multiple brand order is to be delivered and a brand of at least one of respective good in the multiple brand order (see Id.).

W.R.T. **Claim 48**: The modified method of Juedes et al. further discloses the method including the step of getting each of the supplier ship schedules based on the zip code and the brand of the at least one respective good ordered (see pages 4-6, Tables 1-14, Figs. 1-18 and the descriptions thereof).

W.R.T. **Claim 49**: The modified method of Juedes et al. further discloses the method including the step of selecting the respective delivery agent and the respective capacity matrix based on the zip code of the multiple brand order (see Id.).

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W.R.T. Claim 50: The modified method of Juedes et al. further discloses the method including the step of determining a first potential ship date to the respective buyer's address based on the capacity of the respective delivery agent and the delivery schedule of the respective delivery agent (see Id.).

W.R.T. Claim 51, Juedes et al. discloses a method comprising the steps of (see pages 4-6, Tables 1-14, Figs. 1-18 and the descriptions thereof: calculating a first potential arrival date of the order to a respective delivery agent's location, using the server system based on an order request date and the respective buyer's address (see Supra Claims); determining an ability of the respective delivery agent to ship the order based on the first potential arrival date (see Id.); and determining a delivery date to the respective buyer when there is sufficient delivery agent capacity to ship the multiple brand order to the respective buyer's address (see ld.); and updating an electronic manifest indicating the delivery date of the order and a change in delivery agent capacity for the delivery date (see Id.) Juedes et al does not disclose calculating an arrival date based on a fixed delay; allowing an order change that affects the delivery date of the order to be made by an authorized user; and wherein upon allowance of the order change, steps (1), (2), (3), and (4) are repeated to determine a new delivery date. However, it is obvious that since Kirsch performs steps (1)- (4) for determining a delivery date to repeat the steps to determine a new delivery date. However, Kennedy et al discloses calculating a first potential delivery date based on a standard lead time [col 25, lines 17-31]. Further, Kennedy et al discloses that a client can request a change that affects the delivery date and to initiate a request the user must be

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authorized using security information [col 28, lines 40-67; col 10, lines 26-29]. Additionally, Kennedy et al discloses processing an order change as though it was a new order [col 29, lines 21-25]. Thus, Kennedy et al discloses repeating the delivery date calculations based on an order change. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the invention of Juedes et al to include the method disclosed by Kennedy et al to fulfill the customer's needs [col 25, lines 17-31] and so that a user can provide a time when he/she will be available to receive the order or when the order is necessary.

Juedes et al. does not disclose the method including allowing an order change to be made by a user that is authorized by one of the respective delivery agent, the respective buyer, the at least one supplier, a store, or a logistics intermediary, wherein allowance of the order change is based on: (a) type of order change, (b) whether the user is acting as the respective delivery agent, the respective buyer, the at least one supplier, the store, or logistics intermediary, and a (c) a level of the user. However, Marks teaches that a user can make an order change wherein the change is allowed based on type of order change (review, edit, change order), whether the user is acting as the delivery agent, the buyer, the at least one supplier, the store, or the logistic intermediary, and a level of the user (Tables I & II, col 5, lines 5-55; col 10, lines 20-67). Juedes et al does not disclose wherein the allowance of an order change is based on a security code. Kirsch teaches, for a secure system and method for performing transinternet purchase transactions, that the invention shows that an order change is made based on a security code (see col. 5, lines 22-27; col. 14, lines 20-65). Since Juedes et

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al., Kirsch, and Marks are all from the same field of endeavor, the purpose disclosed by Kirsch and Marks would have been well recognized in the pertinent field of Juedes et al. Accordingly, it would have been obvious at the time the invention was made to a person having ordinary skill in the art to modify the method of Juedes et al. such that the method includes the step of allowing an order change to be made based on type of order change, whether the user is acting as the delivery agent, the buyer, the at least one supplier, the store, or logistics intermediary, a level of the user, and a security code, as taught by Kirsch and Marks, for the purpose of providing a method of efficiently performing secure purchase transactions over the Internet.

Juedes et al. does not disclose the method including determining an ability of the respective delivery agent to ship the order based on a number of slots to be shipped, the number of slots calculated from a work unit matrix. However, Borders et al discloses determining available delivery windows based upon transportation capacity data, such as the number of couriers available, the number of vehicles available, the number of orders, truck routes, etc. [0088]. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the method of Juedes et al to include the method disclosed by Borders et al so the delivery agent does not become overcommitted.

W.R.T. **Claim 54**: The modified method of Juedes et al further discloses the method including the step of multiplying each item in the multiple brand order by a work unit selected from the work unit matrix to determine a number of slots for the multiple brand order (see Id.).

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W.R.T. **Claim 55**: The modified method of Juedes et al. further discloses the method, wherein the step of determining the delivery date includes the step of determining the first available date that the order is completely shipped to the buyer based on a capacity matrix and based on the number of available delivery slots (see pages 4-6; Tables 1-14, Figs. 1-18 and the descriptions thereof).

W.R.T. **Claim 57**: The modified method of Juedes et al. further discloses the method including the step of getting a zip code to which the multiple brand order is to be delivered and a brand of at least one respective good in the multiple brand order (see ld.).

W.R.T. **Claim 58**: The modified method of Juedes et al. further discloses the method including the step of getting each of the supplier ship schedules based on the zip code and the brand of the at least one respective good ordered (see pages 4-6, Tables 1-14, Figs. 1-18 and the descriptions thereof).

W.R.T. **Claim 59**: The modified method of Juedes et al. further discloses the method including the step of selecting the respective delivery agent and the respective a capacity matrix based on the zip code of the multiple brand order (see ld.).

W.R.T. Claim 60: The modified method of Juedes et al. further discloses the method including the step of determining a first potential ship date to the respective buyer's address based on the capacity of the respective delivery agent and the delivery schedule of the respective delivery agent (see Id).

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#### Conclusion

9. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to SHANNON S. SALIARD whose telephone number is (571)272-5587. The examiner can normally be reached on Monday - Friday, 8:00 am - 4:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John W. Hayes can be reached on 571-272-6708. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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